

04-04-01

DOCKET NO.: 19603/3243 (CRF D-2601C)  
EXPRESS MAIL NO.: EL709321094US

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM  
(only for new nonprovisional applications under 37 CFR 1.53(b))ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

BOX: PATENT APPLICATION

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, and Abstract, 121 pages) of:

Inventors : Alan Collmer, James R. Alfano, and Amy O. Charkowski

For : DNA MOLECULES AND POLYPEPTIDES OF *PSEUDOMONAS SYRINGAE*  
HRP PATHOGENICITY ISLAND AND THEIR USES*\*\*If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)  
of prior application Serial No.Prior application information: Examiner :  
Art Unit :

Enclosed are:

- ☒ 11 sheets of Formal drawings.
- ☐ Signed Combined Declaration and Power of Attorney (\_\_\_\_ pages).
- ☐ Copy of signed Combined Declaration and Power of Attorney (\_\_\_\_ pages) from a prior application (1.63(d) (for continuation/divisional).
- ☐ Signed statement deleting inventor(s) named in prior application (\_\_\_\_ pages) (1.63(d)(2) and 1.33(b)).
- ☐ Incorporation By Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☐ Assignment (\_\_\_\_ pages) of the invention to \_\_\_\_\_.
- ☐ Assignment Transmittal Letter.
- ☐ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.
- ☒ Applicants claim small entity status. (See 37 CFR 1.27.)

- ☐ Preliminary Amendment (\_\_\_\_ pages).
- ☐ Information Disclosure Statement, form PTO-1449 (\_\_\_\_ pages) and \_\_\_\_ references.
- ☒ **UNSIGNED** Combined Declaration and Power of Attorney (2 pages).
- ☒ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ Sequence Listing (85 pages).
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:


The Filing fee has been calculated as shown below:

|  | (Col. 1)  | (Col. 2)  | SMALL ENTITY |       |    | LARGE ENTITY |       |
|--|-----------|-----------|--------------|-------|----|--------------|-------|
| FOR:   | NO. FILED | NO. EXTRA | RATE         | FEE   | OR | RATE         | FEE   |
| BASIC FEE  | XXXXXXXX  | XXXXXXXX  | XXXX         | \$355 | OR | XXXX         | \$710 |
| TOTAL CLAIMS   | 37 - 20 = | 17        | x 9 =        | \$163 | OR | x 18 =       | \$    |
| INDEP CLAIMS   | 3 - 3 =   | 0         | x 40 =       | \$0   | OR | X80 =        | \$    |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED                                  |           |           | x135 =       | \$    | OR | x270 =       | \$    |
| *If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2 |           |           | TOTAL        | \$518 | OR | TOTAL        | \$    |

- ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_. **A duplicate copy of this sheet is enclosed.**
- ☒ A check in the amount of **\$518.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**
- ☒ Address all future communications to:

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Date: April 3, 2001

  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|            |   |                                    |   |                |
|------------|---|------------------------------------|---|----------------|
| Applicants | : | Collmer et al.                     | ) | Examiner:      |
|            |   |                                    | ) | To Be Assigned |
| Serial No. | : | To Be Assigned                     | ) |                |
|            |   |                                    | ) | Art Unit:      |
| Cnfrm. No. | : | To Be Assigned                     | ) | To Be Assigned |
|            |   |                                    | ) |                |
| Filed      | : | Herewith                           | ) |                |
|            |   |                                    | ) |                |
| For        | : | DNA MOLECULES AND POLYPEPTIDES     | ) |                |
|            |   | OF <i>PSEUDOMONAS SYRINGAE</i> HRP | ) |                |
|            |   | PATHOGENICITY ISLAND AND THEIR     | ) |                |
|            |   | USES                               | ) |                |

STATEMENT IN ACCORDANCE WITH 37 CFR § 1.821(f)


Assistant Commissioner for Patents  
Washington, D.C. 20231  
**Box: Patent Application**

Sir:

In accordance with 37 CFR § 1.821(f), this statement confirms that the contents of the Sequence Listing (85 pages) of the subject application as submitted herewith and on the computer readable 3.5" Diskette submitted herewith are the same.

Respectfully submitted,

Date: April 3, 2001

  
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**EXPRESS MAIL CERTIFICATE**

DOCKET NO.: 19603/3243 (CRF D-2601C)  
APPLICANTS: Alan Collmer, James R. Alfano, and Amy O. Charkowski  
TITLE: **DNA MOLECULES AND POLYPEPTIDES OF  
PSEUDOMONAS SYRINGAE HRP PATHOGENICITY ISLAND  
AND THEIR USES**

Certificate is attached to the **Formal Drawings (11 sheets)** of the above-named application.

EXPRESS MAIL NUMBER: **EL709321094US**  
DATE OF DEPOSIT: **April 3, 2001**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, BOX: PATENT APPLICATION.

**Jo Ann Whalen**  
\_\_\_\_\_  
(Typed or printed name of person  
mailing paper or fee)

  
\_\_\_\_\_  
(Signature of person mailing paper  
or fee)